

Annexure- B

Complaint Data to be displayed by Portfolio Managers

Date : 05/09/2024

Format for investor complaints data to be disclosed by Portfolio Managers on their website on monthly basis:

Data till the month ending –Aug 2024 (From Jan 2022)

| Sr. No. | Received from | Pending at the end of last month | Received | Resolved* | Total Pending# | Pending complaints > 3months | Average Resolution time^ (in days) |
|----------------|-------------------------|---|-----------------|------------------|-----------------------|--|---|
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Other Sources (if any) | NIL | NIL | NIL | NIL | NIL | NIL |
| | Grand Total | NIL | NIL | NIL | NIL | NIL | NIL |

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending# |
|----------------|------------------|--|-----------------|------------------|-----------------|
| 1 | Jan 2022 | Nil | Nil | Nil | Nil |
| 2 | Feb 2022 | Nil | Nil | Nil | Nil |
| 3 | Mar 2022 | Nil | Nil | Nil | Nil |
| 4 | Apr 2022 | Nil | Nil | Nil | Nil |
| 5 | May 2022 | Nil | Nil | Nil | Nil |
| 6 | June 2022 | Nil | Nil | Nil | Nil |
| 7 | July 2022 | Nil | Nil | Nil | Nil |
| 8 | Aug 2022 | Nil | Nil | Nil | Nil |
| 9 | Sept 2022 | Nil | Nil | Nil | Nil |
| 10 | Oct 2022 | Nil | Nil | Nil | Nil |
| 11 | Nov 2022 | Nil | Nil | Nil | Nil |
| 12 | Dec 2023 | Nil | Nil | Nil | Nil |

| | | | | | |
|----|--------------------|-----|-----|-----|-----|
| 13 | Jan 2023 | Nil | Nil | Nil | Nil |
| 14 | Feb 2023 | Nil | Nil | Nil | Nil |
| 15 | Mar 2023 | Nil | Nil | Nil | Nil |
| 16 | Apr 2023 | Nil | Nil | Nil | Nil |
| 17 | May 2023 | Nil | Nil | Nil | Nil |
| 18 | June 2023 | Nil | Nil | Nil | Nil |
| 19 | July 2023 | Nil | Nil | Nil | Nil |
| 20 | Aug 2023 | Nil | Nil | Nil | Nil |
| 21 | Sep 2023 | Nil | Nil | Nil | Nil |
| 22 | Oct 2023 | Nil | Nil | Nil | Nil |
| 23 | Nov 2023 | Nil | Nil | Nil | Nil |
| 24 | Dec 2023 | Nil | Nil | Nil | Nil |
| 25 | Jan 2024 | Nil | Nil | Nil | Nil |
| 26 | Feb 2024 | Nil | Nil | Nil | Nil |
| 27 | Mar 2024 | Nil | Nil | Nil | Nil |
| 28 | Apr 2024 | Nil | Nil | Nil | Nil |
| 29 | May 2024 | Nil | Nil | Nil | Nil |
| 30 | June 2024 | Nil | Nil | Nil | Nil |
| 31 | July 2024 | Nil | Nil | Nil | Nil |
| 32 | Aug 2024 | | | | |
| | Grand Total | Nil | Nil | Nil | Nil |

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received | Resolved** | Pending## |
|----|--------------------|------------------------------------|----------|------------|-----------|
| 1 | 2018-19 | Nil | | | |
| 2 | 2019-20 | Nil | | | |
| 3 | 2020-21 | Nil | | | |
| 4 | 2021-22 | Nil | | | |
| 5 | 2022-23 | Nil | | | |
| 6 | 2023-24 | Nil | | | |
| 7 | 2024-25 YTD | Nil | | | |
| | Grand Total | Nil | | | |

** Inclusive of complaints of previous years resolved in the current year. ##

Inclusive of complaints pending as on the last day of the year.